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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	10/769,021
Filing Date	01/30/2004
First Named Inventor	Robert R. Whittle
Group Art Unit	1614
Examiner Name	SPIVACK
Attorney Docket Number	5573-115IP7CT7

**U.S. PATENTS AND PATENT PUBLICATIONS**

Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
PS	1.	US-6,677,455	B2	Kronström et al.	01/13/2004
		US-			
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**U.S. PATENT APPLICATIONS**

Examiner Initials*	Cite No.	U.S. Serial No.	Name of Applicant of Cited Document	Date of Filing of Cited Document MM-DD-YYYY
		US-		
		US-		
		US-		
		US-		
		US-		

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation
		Office	Number	Kind Code (if known)			

**OTHER NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T

Examiner Signature

Phyllis Spivack

Date Considered

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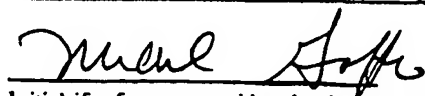
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Sheet 1 of 8

<b>FORM PTO-1449 U.S. Department of Commerce</b> <b>Patent and Trademark Office</b>  <b>LIST OF DOCUMENTS CITED BY APPLICANT</b>  (Use several sheets if necessary)				<b>Attorney Docket Number</b> <del>5573-1151P7CT5</del> <b>5573-1151P7CT7</b>		<b>Serial No.</b> <b>To Be</b> <b>Assigned</b>	
				<b>Applicants:</b> Whittle et al.			
				<b>Filing Date</b> Concurrently herewith		<b>Group:</b> N t vet known	
<b>U. S. PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
MG	1.	4,128,658	12/05/78	Price et al.	424	285	
	2.	4,255,431	03/10/81	Junggren et al.	424	263	
	3.	4,279,819	07/21/81	Price et al.	260	326.5 S	
	4.	4,337,257	06/29/82	Junggren et al.	424	263	
	5.	4,508,905	04/02/85	Junggren et al.	546	271	
	6.	4,555,518	11/26/85	Rainer	514	338	
	7.	4,596,795	06/24/86	Pitha	514	58	
	8.	4,612,378	09/16/86	Bosshard et al.	548	170	
	9.	4,620,008	10/28/86	Brändström et al.	546	271	
	10.	4,628,098	12/09/86	Nohara et al.	546	271	
	11.	4,636,499	01/13/87	Brändström et al.	514	222	
	12.	4,725,691	02/16/88	Brändström et al.	546	172	
	13.	4,727,064	02/23/88	Pitha	514	58	
	14.	4,738,974	04/19/88	Brändström	514	338	
	15.	4,753,955	06/28/88	Matsushita et al.	514	338	
	16.	4,772,619	09/20/88	Adelstein et al.	514	338	
	17.	4,777,172	10/11/88	Ife et al.	514	234.5	
	18.	4,786,505	11/22/88	Lovgren et al.	424	468	
	19.	4,808,596	02/28/89	Matsushita et al.	514	303	
	20.	4,820,708	04/11/89	Ife et al.	514	232.8	
	21.	4,840,799	06/20/89	Appelgren et al.	424	493	
	22.	4,853,230	08/01/89	Lovgren et al.	424	466	
	23.	5,021,443	06/04/91	Bru-Magniez et al.	514	394	
	24.	5,045,321	09/03/91	Makino et al.	424	475	
	25.	5,070,101	12/03/91	Kaminski	514	399	

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<b>FORM PTO-1449 U.S. Department of Commerce</b> <b>Patent and Trademark Office</b>  <b>LIST OF DOCUMENTS CITED BY APPLICANT</b>  (Use several sheets if necessary)				Attorney Docket Number <del>5573-1158767</del> <b>5573-1158767 7</b>		Serial No. To Be Assigned	
				Applicants: Whittle et al.			
				Filing Date Concurrently herewith		Group: Not yet known	
U. S. PATENT DOCUMENTS (Cont'd)							
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate	
mb	26.	5,075,323	12/24/91	Fain et al.	514	338	
	27.	5,093,132	03/03/92	Makino et al.	424	475	
	28.	5,093,342	03/03/92	Tomoi et al.	514	328	
	29.	5,096,893	03/17/92	Pitha et al.	514	58	
	30.	5,106,863	04/21/92	Hajos et al.	514	395	
	31.	5,124,158	06/23/92	Ruwart et al.	424	449	
	32.	5,178,867	01/12/93	Guittard et al.	424	473	
	33.	5,196,205	03/23/93	Borody	424	653	
	34.	5,204,118	04/20/93	Goldman et al.	424	489	
	35.	5,206,025	04/27/93	Courteille et al.	424	439	
	36.	5,219,870	06/15/93	Kim	514	338	
	37.	5,232,706	08/03/93	Palomo Coll	424	475	
	38.	5,244,670	09/14/93	Upson et al.	424	439	
	39.	5,246,714	09/21/93	Dahlinder et al.	424	497	
	40.	5,288,506	02/22/94	Spickett et al.	424	498	
	41.	5,294,439	03/15/94	Yamasaka et al.	424	78.01	
	42.	5,294,629	03/15/94	Machinami et al.	514	366	
	43.	5,304,540	04/19/94	Blackburn et al.	514	2	
	44.	5,352,688	10/04/94	Kaminski	514	357	
	45.	5,362,424	11/08/94	Lee et al.	264	4.3	
	46.	5,374,730	12/20/94	Slemon et al.	546	271	
	47.	5,385,739	01/31/95	Debregeas et al.	424	494	
	48.	5,386,032	01/31/95	Brändström	546	271	
	49.	5,391,752	02/21/95	Hoerner et al.	546	271	
—	50.	5,399,700	03/21/95	Min et al.	546	271	

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*Michael Jaffo*

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				Applicants: Whittle et al.			
				Filing Date Concurrently herewith		Group: Not yet known	
<b>U. S. PATENT DOCUMENTS (Cont'd)</b>							
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate	
MG	51.	5,417,980	05/23/95	Goldman et al.	424	464	
	52.	5,433,959	07/18/95	Makino et al.	424	475	
	53.	5,476,669	12/19/95	Borody	424	653	
	54.	5,508,041	04/16/96	Lee et al.	424	451	
	55.	5,514,660	05/07/96	Zopf et al.	514	25	
	56.	5,518,730	05/21/96	Fuisz	424	426	
	57.	5,536,735	07/16/96	Takechi et al.	514	338	
	58.	5,571,811	11/05/96	Heeres et al.	514	252	
	59.	5,578,732	11/26/96	Kato et al.	546	273.7	
	60.	5,582,837	12/10/96	Shell	424	451	
	61.	5,589,491	12/31/96	Nakanishi et al.	514	338	
	62.	5,599,794	02/04/97	Eck et al.	514	29	
	63.	5,616,593	04/01/97	Patel et al.	514	321	
	64.	5,620,964	04/15/97	Roth et al.	514	53	
	65.	5,622,717	04/22/97	Fuisz	424	488	
	66.	5,629,305	05/13/97	Eck et al.	514	199	
	67.	5,633,244	05/27/97	Eck et al.	514	199	
	68.	5,635,520	06/03/97	Uda	514	338	
	69.	5,637,592	06/10/97	Heeres et al.	514	252	
	70.	5,639,478	06/17/97	Makino et al.	424	475	
	71.	5,639,754	06/17/97	Heeres et al.	514	252	
	72.	5,650,411	07/22/97	Heeres et al.	514	252	
	73.	5,651,987	07/29/97	Fuisz	424	488	
	74.	5,656,286	08/12/97	Miranda et al.	424	449	
	75.	6,262,085	07/17/01	Whittle, et al.	514	338	03/07/00
	76.	6,262,086	07/17/01	Whittle, et al.	514	338	07/31/00

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*Michel Affeo*

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				<b>Applicants:</b> Whittle et al.				
				<b>Filing Date Concurrently herewith</b>			<b>Group: Not</b> <b>yet known</b>	
MG	77.	6,268,385	07/31/01	Whittle, et al.	514	338	08/24/00	
I	78.	5,665,730	09/09/97	Senn-Bilfinger et al.	514	300		
<b>Examiner Initial</b>		<b>Document Number</b>	<b>Date</b>	<b>Name</b>	<b>Class</b>	<b>Subclass</b>	<b>Filing Date if Appropriate</b>	
MG	79.	5,670,932	09/23/97	Kizima	340	384.6		
	80.	5,686,588	11/11/97	Yoo	536	13.3		
	81.	5,693,818	12/02/97	Von Unge	546	273.7		
	82.	5,710,156	01/20/98	Heeres et al.	514	255		
	83.	5,714,504	02/03/98	Lindberg et al.	514	338		
	84.	5,719,161	02/17/98	Rainer	514	300		
	85.	5,728,700	03/17/98	Heeres et al.	514	252		
	86.	5,731,002	03/24/98	Olovson et al.	424	484		
	87.	5,753,630	05/19/98	Zopf et al.	514	25		
	88.	5,766,622	06/16/98	Nelson	424	440		
	89.	5,776,765	07/07/98	Graham et al.	435	280		
	90.	5,811,426	09/22/98	Heeres et al.	514	252		
	91.	5,811,547	09/22/98	Nakamichi et al.	540	589		
	92.	5,817,338	10/06/98	Bergstrand et al.	424	468		
	93.	5,840,552	11/24/98	Holt et al.	435	118		
	94.	5,846,562	12/08/98	Yanai et al.	424	451		
	95.	5,859,030	01/12/99	Kohl et al.	514	338		
	96.	5,877,192	03/02/99	Lindberg et al.	514	338		
	97.	5,916,904	06/29/99	Sato et al.	514	338		
	98.	5,929,244	07/27/99	Von Unge	546	273.7		
	99.	5,948,789	09/07/99	Larsson et al.	514	299		

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~~5573-1151P7CT3~~  
5573-1151P7CT7

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LIST OF DOCUMENTS CITED BY APPLICANT

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Applicants: Whittle et al.

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Group:

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation Yes   No
PS	100.	0124495 A2	11/07/84	EPO	C07D	401/12	X
	<del>101.</del>	<del>61007281 A2</del>	<del>01/13/86</del>	<del>JAPAN</del>	<del>C07D</del>	<del>513/14</del>	<del>X (Abstract)</del>
	<del>102.</del>	<del>0166287 B1</del>	<del>01/02/86</del>	<del>EPO</del>	<del>C07D</del>	<del>401/12</del>	<del>X</del>
PS	103.	0171372 A1	02/12/86	EPO	C07D	513/14	X
	<del>104.</del>	<del>61205211</del>	<del>09/11/86</del>	<del>JAPAN</del>	<del>A61K</del>	<del>031/44</del>	<del>X (Abstract)</del>
PS	105.	0197013 A1	10/08/86	EPO	C07D	401/12	X
	<del>106.</del>	<del>61271259</del>	<del>12/01/86</del>	<del>JAPAN</del>	<del>C07C</del>	<del>93/14</del>	<del>X (Abstract)</del>
	<del>107.</del>	<del>02049774 A2</del>	<del>02/20/90</del>	<del>JAPAN</del>	<del>C07D</del>	<del>235/28</del>	<del>X (Abstract)</del>
	<del>108.</del>	<del>4035455 A1</del>	<del>05/14/92</del>	<del>GERMANY</del>	<del>C07D</del>	<del>401/12</del>	<del>X</del>
	<del>109.</del>	<del>WO</del>	<del>05/05/89</del>	<del>WIPO</del>	<del>C07D</del>	<del>401/12</del>	<del>X</del>
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	<del>115.</del>	<del>06096581</del>	<del>04/08/94</del>	<del>JAPAN</del>	<del>G11C</del>	<del>114/01</del>	<del>X (Abstract)</del>
	<del>116.</del>	<del>06316573</del>	<del>11/15/94</del>	<del>JAPAN</del>	<del>C07D</del>	<del>401/12</del>	<del>X (Abstract)</del>
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Applicants: Whittle et al.

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		95/32957					
	122.	WO96/01622	01/25/96	WIPO	A61K	9/24	X
	123.	WO	01/25/96	WIPO	A61K	9/26	X
		96/01623					
	124.	WO	02/01/97	WIPO	C07D	401/12	X
		96/02535					

FOREIGN PATENT DOCUMENTS (Cont'd.)

		Document Number	Date	Country	Class	Subclass	Translation Yes   No
	125.	WO	06/12/97	WIPO	C07F	7/08	X
		97/20851					
	126.	WO	07/17/97	WIPO	A61K	9/46	X
		97/25030					
	127.	WO	05/14/98	WIPO	A61K	9/50	X
		98/19668					
	128.	WO	12/03/98	WIPO	A61K	9/28	X
		98/53803					
	129.	WO	12/03/98	WIPO	C07D	401/12	X
		98/54171					
	130.	WO	02/25/99	WIPO	NONE		X
		99/08500					

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PS	131.	"The Mechanism of Action of the Gastric Acid Secretion Inhibitor Omeprazole," <i>Journal of Medicinal Chemistry</i> 29:8 1327-1329 (1986).
PS	132.	Beckett et al.; "4-Hydroxybenzazoles: Preparation and Antibacterial Activities," <i>J. Pharm. and Pharmacol</i> 8:661-665 (1956).
PS	133.	Brändström et al.; "Structure activity relationships of substituted benzimidazoles," <i>Scandinavian Journal of Gastroenterology</i> 20:Supplemental 108 15-22 (1985).
PS	134.	Brändström et al.; "Chemical Reactions of Omeprazole and Omeprazole Analogues. I. A Survey of the Chemical Transformations of Omeprazole and its Analogues," <i>Acta Chemica Scandinavica</i> 43:536-548 (1989).
PS	135.	Brändström et al.; "Chemical Reactions of Omeprazole and Omeprazole Analogues. II. Kinetics of the Reaction of Omeprazole in the Presence of 2-Mercaptoethanol," <i>Acta Chemica Scandinavica</i> 43:549-568 (1989).
PS	136.	Brändström et al.; "Chemical Reactions of Omeprazole and Omeprazole Analogues. III. Protolytic Behaviour of Compounds in the Omeprazole System," <i>Acta Chemica Scandinavica</i> 43:569-576 (1989).

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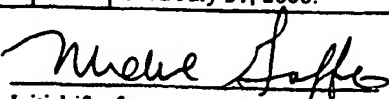
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		Applicants: Whittle et al.	
		Filing Date Concurrently herewith	Group: Not yet known
	137.	Brändström et al.; "Chemical Reactions of Omeprazole and Omeprazole Analogues. IV. Reactions of Compounds of the Omeprazole System with 2-Mercaptoethanol," <i>Acta Chemica Scandinavica</i> 43:577-586 (1989).	
	138.	Brändström et al.; "Chemical Reactions of Omeprazole and Omeprazole Analogues. V. The Reaction of N-Alkylated Derivatives of Omeprazole Analogues with 2-Mercaptoethanol," <i>Acta Chemica Scandinavica</i> 43:587-594 (1989).	
	139.	Brändström et al.; "Chemical Reactions of Omeprazole and Omeprazole Analogues. VI. The Reactions of Omeprazole in the Absence of 2-Mercaptoethanol," <i>Acta Chemica Scandinavica</i> 43:595-611 (1989).	
	140.	Clissold et al.; "Omeprazole A Preliminary Review of its Pharmacodynamic and Pharmacokinetic Properties, and Therapeutic Potential in Peptic Ulcer Disease and Zollinger-Ellison Syndrome," <i>Drugs</i> 32:15-47 (1986).	
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	141.	Erlandsson; "Resolution of the enantiomers of omeprazole and some of its analogues by liquid chromatography on a trisphenylcarbamoylcellulose-based stationary phase. The effect of the enantiomers of omeprazole on gastric glands," <i>Journal of Chromatography</i> 532:305-319 (1990).	
	142.	Lindberg et al.; "Structure-activity relationships of omeprazole analogues and their mechanism of action," <i>TIPS</i> 8:399-402 (October 1987).	
	143.	Maier et al.; "Diphenylethanediamine (DPEDA) Derivatives as Chiral Selectors: IV. A Comparison of 3,5-Dinitrobenzoylated (S,S)- and (S,R)-DPEDA-Derived Chiral Stationary Phases with Pirkle's Standard (R)-Phenylglycine-Derived Phase in Normal Phase HPLC," <i>Chirality</i> 6:116-128 (1994).	
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	150.	U.S. Patent Application No. 09/629,587 to Whittle, et al. entitled <i>Pharmaceutical Formulations</i> ; filed July 31, 2000.	
	151.	U.S. Patent Application No. 09/628,840 to Whittle, et al. entitled <i>Method of Improving Bioavailability</i> ; filed July 31, 2000.	

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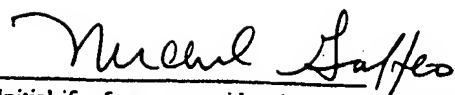
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<b>FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office</b>  <b>LIST OF DOCUMENTS CITED BY APPLICANT</b>  (Use several sheets if necessary)		Attorney Docket Number <del>5573-115IP7CT3</del> <b>5573-115IP7CT 7</b>	Serial No. To Be Assigned
		Applicants: Whittle et al.	
		Filing Date Concurrently herewith	Group: N t vet known
	152.	U.S. Patent Application No. 09/629,634 to Whittle, et al. entitled <i>Pharmaceutical Unit Dosage Form</i> ; filed July 31, 2000.	
	153.	U.S. Patent Application No. 09/645,148 to Whittle, et al. entitled <i>Dry Blend Pharmaceutical Unit Dosage Form</i> ; filed August 24, 2000.	

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